Docket No.: SHOBA6.001APC Customer No. 20995

INFORMATION DISCLOSURE STATEMENT

Applicant :

Nagai, et al.

App. No

10/588,428

Filed

August 4, 2006

For

FUNCTIONAL BEVERAGE AND

COMPOSITION

Examiner

Perreira, Melissa Jean

Art Unit

1618

Conf No.

9228

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application is a PTO/SB/08 Equivalent listing 2 (two) references, of which 2 (two) are enclosed/submitted.

This Information Disclosure Statement is being filed before the mailing date of a final action and before the mailing date of a Notice of Allowance.

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Application No.:

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Thus, no fee is required as set forth in 37 C.F.R. § 1.97(c), however, the Commissioner is hereby authorized to charge any additional fees which may be required or to credit any overpayment to Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated:

By

Neil S/Rartfeld Ph D

Registration No. 39,901

Agent of Record

Customer No. 20995

(858) 707-4000

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